

ARTMENT OF COMMERCE

Patent and Trademark Office

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO. **FILING DATE** FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 1505/5A R CARLSON 08/959,575 10/28/97

Address:

LM02/0523

EXAMINER

-STUART T. LANGLEY DORR CARSON SLOAN & PETERSON 3010 E. 6TH AVENUE DENVER CO 80206

ART UNIT PAPER NUMBER 2767

MEISLAHN, D

DATE MAILED:

05/23/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No. 08/959,575

Applicant(s)

Examiner

Douglas Meislahn

Group Art Unit

2767

Carlson



X Responsive to communication(s) filed on Apr 25, 2000	
☐ This action is FINAL .	
☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quay/1935 C.D. 11; 453 O.G. 213.	
A shortened statutory period for response to this action is set to expire3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).	
Disposition of Claim	
	is/are pending in the applicat
Of the above, claim(s)	is/are withdrawn from consideration
☐ Claim(s)	is/are allowed.
	is/are rejected.
☐ Claim(s)	
☐ Claims are subj	
Application Papers See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948. The drawing(s) filed on is/are objected to by the Examine	er.
☐ The proposed drawing correction, filed on is ☐ approve	•
☐ The specification is objected to by the Examiner.	
☐ The oath or declaration is objected to by the Examiner.	
Priority under 35 U.S.C. § 119 Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). All Bome* None of the CERTIFIED copies of the priority documents have been received. received in Application No. (Series Code/Serial Number) received in this national stage application from the International Bureau (PCT Rule 17.2(a)).	
*Certified copies not received:	
☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).	
Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152	
SEE OFFICE ACTION ON THE FOLLOWING PAGES	

Application/Control Number: 08/959,575 Page 2

Art Unit: 2767

DETAILED ACTION

Response to Amendment

1. This action is in response to the CPA amendment that cancelled claims 19-22 and 29-43 while amending the title and claims 17 and 18.

Response to Arguments

2. Applicant's arguments with respect to claims 17 and 18 have been considered but are most in view of the new ground(s) of rejection. The additions to the claims have overcome the rejections based upon Vasseur.

Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 4. Claims 17 and 18 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The population size of the sets of potentially random numbers is not given. The most part of the specification seems to be lines 16-18 of page 27, in which applicant says that numbers are stored "to build a group large enough to be verified." The parameters of verification (specifically the alpha) are not defined. Thus, two elements are missing: the number of random numbers that form a group and the cutoff point for randomness.
- 5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

Application/Control Number: 08/959,575

Art Unit: 2767

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

- 6. Claims 17 and 18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 7. The term "substantially" which is used at least thrice in claim 17 is a relative term which renders the claim indefinite. The term "substantially" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

Claim Rejections - 35 USC § 103

- 8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claims 17-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over applicant's admitted prior art in view of Vasseur (3309509) and Wilke et al. (4093223).

In his background section, applicant lays out the basic premise of electronic gaming. That is, clientele bet money on games of chance, wherein randomness is determined by a computer. It is apparent from the description that random number generators are employed to produce series of pseudo-random numbers. This series is composed of sets of numbers that are obviously pseudo-random in the long run. A controller is necessary to route these random numbers. Numbers are anticipated as

Application/Control Number: 08/959,575

Art Unit: 2767

being available upon demand for gaming purposes. Applicant's admitted prior art also says that the system must be random. Several games of chance are listed.

Applicant's admitted prior art does not say that sets from the series of random numbers must in and of themselves appear to be random. Wilke et al. present a different gaming system in which sets of random numbers are generated in a fashion that "is felt . . . [to be] sufficient . . . to insure the randomness of . . . " the variables in the sets (column 12, lines 64-65). Note that this does not actually insure that the sets of numbers are sufficiently random. Vasseur teaches, as his title says, a system for checking the random character of a sequence of symbols. This includes a verifier. Therefore it would have been obvious to a person of ordinary skill in the art at the time the invention was made to apply the verification system of Vasseur to the gaming engine of Wilke et al. in order to assure the system of the randomness of different sets of numbers. Sets of numbers that were not random would obviously not be used. It would have been further obvious to a person of ordinary skill in the art at the time the invention was made to apply this combined teaching of Wilke et al. and Vasseur to the electronic gaming of applicant's admitted prior art in order to allow the latter to run games such as ones anticipated by Wilke et al.

With respect to claim 18, RAM 36 of Wilke et al., mentioned in line 2 of column 13, anticipates applicant's buffer.

Page 5

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Coburn (5396440), James, III (5U51165), Gillette (494306U), Simkus et al. (4819818), Dunnigan (4786056), and Goott (4441714).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Douglas J Meislahn whose telephone number is (703) 305-1338. The examiner can normally be reached between 9AM - 6PM, except for every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tod Swann can be reached on (703) 308-7791. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-9051 for regular communications and (703) 308-905Uf or After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

J0" DJM Mov Ot 10

May Ω 1, Ω 000

Douglas J Meislahn Examiner Art 2/nit **2**/767

A COMPANY OF THE PROPERTY OF T





FORM PTO-892 U.S. DEPARTMENT OF COMMERCE SERIAL NO. **GROUP ART ATTACHMENT** UNIT TO PAPER NO. PATENT AND TRADEMARK OFFICE 13 08959575 2767 NOTICE OF REFERENCES CITED APPLICANT(S) Carlson **U.S. PATENT DOCUMENTS** SUB-CLASS FILING DATE DOCUMENT NO. DATE CLASS NAME 5,396,440 3/1995 Α Coburn 702 106 В 5,251,165 10/1993 James III 708 250 C 4,943,062 7/1990 Gillette 273 144B D 4,819,818 4/1989 Simkus et al. 463 22 Ε 4,786,056 11/1988 Dunnigan 273 144A F 4,441,714 4/1984 Goott 273 144B Wilke et al. G 4,093,223 6/1978 463 Н ı J K **FOREIGN PATENT DOCUMENTS** SUB-CLASS DOCUMENT NO. DATE COUNTRY NAME CLASS М Ν 0 Р Q OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) R S T U **EXAMINER** DATE May 21, 2000 Douglas Meislahn Form892ccs2106b * A copy of this reference is not being furnished with this office action. (See Manual of Patent Examining Procedure, section 707.05(a).)